

11-28-00

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11/20/00

jc813 U.S. PTO

PATENT
Docket No.

Box Patent Application
Commissioner of Patents and Trademarks
Washington, D.C. 20231

jc886 U.S. PTO
09/716713

11/20/00

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of
Inventor(s): Richard S. Belliveau

For (title): LIGHTING DEVICE WITH BEAM ALTERING MECHANISM
INCORPORATING A PLURALITY OF LIGHT SOURCES

1. Type of Application

This new application is for a(n):

X	Original
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Check one of the following if applicable:

	Divisional
	Continuation
	Continuation-in-part (CIP)

**2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular)
or 37 CFR 1.153 (Design) Application**

29 Pages of specification

8 Pages of claims

1 Pages of Abstract

12 Sheets of drawing

☒ formal
☐ informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date 11/20/00 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL566488812 US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Phyllis Schuster.

(Type or print name of person mailing paper)

Phyllis Schuster

(Signature of person mailing paper)

3. Additional papers enclosed



Information Disclosure Statement (37 CFR 1.98)
Form PTO-1449

4. Declaration or oath

☒ Enclosed

executed by (check all applicable boxes)

☒ inventor(s).

☐ Not Enclosed.

5. Inventorship Statement

The inventorship for all the claims in this application are:

☒ The same

6. Language

☒ English

☐ non-English

☐ the attached translation is a verified translation. 37 CFR 1.52(d).

7. Assignment

☐ An assignment of the invention

☐ is not attached. A separate ☐ FORM PTO 1595 is also attached.

☐ will follow.

8. Certified Copy

Certified Copy(ies) of application(s)

(country)	(appln. no.)	(filed)

from which priority is claimed

☐ is attached.

☐ will follow.

9. Fee Calculation (37 CFR 1.16)

☒ Regular application

CLAIMS AS FILED

Number filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
Total Claims (37 CFR 1.16(c)) 31-20=4	11 X	\$18.00	\$ 198.00
Independent Claims (37 CFR 1.16(b)) 2-3=0	X	\$80.00	\$ 0
Multiple dependent claim(s), if any (37 CFR 1.16(d)) 0	0 X	\$250.00	\$0.00

Filing Fee Calculation \$908.00

10. Small Entity Statement(s)

- ☒ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.
- ☒ Filing Fee Calculation (50% of above) \$454.00

11. Fee Payment Being Made At This Time

☐ Not Enclosed

☐ No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

☒ Enclosed

☒ basic filing fee \$454.00

☐ recording assignment \$ 0.00

Total fees enclosed \$454.00

12. Method of Payment of Fees

- ☒ Check in the amount of \$454.00
- ☒ Charge Account No. 20-0437 for any deficiency.

Reg. No. 35,708  SIGNATURE OF ATTORNEY

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Title: LIGHTING DEVICE WITH BEAM ALTERING MECHANISM
INCORPORATING A PLURALITY OF LIGHT SOURCES

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Pages of specification: 29
Pages of claims: 8
Page of Abstract: 1
Sheets of drawing: 12

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Phyllis Schuster

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